



MLC Cash Management Trust

Application form



Issue date:
30 November 2023

Responsible Entity:
IOOF Investment Services Ltd (IISL)
ABN 80 007 350 405 AFS Licence No. 230703
A part of the Insignia Financial Group of Companies

MLC Cash Management Trust

30 November 2023

Application form

For help with completing the MLC Cash Management Trust application form please call Investor Services on 1800 002 217.

How to invest

- 1 Read the Product Disclosure Statement (PDS) for the MLC Cash Management Trust (Trust).
- 2 Read the important information included in the MLC Cash Management Trust Reference Guide (Reference Guide) and this application form.
- 3 Complete all relevant sections of the application form.
- 4 Read and sign the declaration in Step 7 of the application form.
- 5 Attach your supporting identification and documents.
- 6 Lodge your application form together with supporting identification and documents.

Use of this application form

If you are investing via an investor directed portfolio service (IDPS) or master trust, contact the operator of the IDPS or the trustee of the master trust for details on how to apply.

Eligible investors

The investments offered in the Trust are only available to persons receiving the PDS and Reference Guide (electronically or otherwise) within Australia. Applications from outside Australia will generally not be accepted.

Investor identification requirements for new investors

In order to comply with the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act), we may require you to provide us with proof of identification. In some circumstances, depending on the type of investor you are, we may also require additional client identification documentation as detailed below.

As a general rule, you will need to provide proof of your identity:

- if you do not have any existing accounts set up within the Insignia Financial Group
- if you have existing accounts with the Insignia Financial Group, but wish to open an additional account:
 - that will be in a different name to the existing accounts (such as in your family company name, or a joint account) or
 - that will be in a different capacity to the existing accounts (as trustee for a trust, a deceased estate, for a person under the age of 18 years, or on behalf of an unincorporated association).

Where proof of identification is required, you will need to return your initial application form, together with any certified copies of supporting identification documentation.

We reserve the right not to accept (wholly or in part) any application for any reason or without reason. If we refuse to accept an application, any funds received from you will be returned to you without interest.

Incomplete, or incorrectly completed application forms

If, for any reason, we are unable to process your application (eg the application form is incomplete or incorrectly completed or we are not satisfied that we have received the necessary proof of identification requirements to meet our obligations under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF), the application monies will be held by us in a non-interest bearing trust account for up to 30 days (whilst we endeavour to verify your identification information or obtain any necessary outstanding information) after which we will return the application monies to you.

Applications made by persons under 18 years of age

The responsible entity is unable to accept investments by persons under the age of 18 years. Applications in respect of minors should be made by their parent or guardian. The investment may be titled 'name of parent/guardian' account for 'name of child'.

Step 1: Application details

Are you an existing investor in the MLC Cash Management Trust?

No If this is a new investment application, please complete all required sections of this application form (as applicable).
Application for investment into:

Class A – APIR code PIM0002AU, as noted on page 1 of the MLC Cash Management Trust PDS

OR

Class D – APIR code AUX0021AU, as noted on page 1 of the MLC Cash Management Trust (for platform investors) PDS

Yes If this is an additional investment application please provide your MLC Cash Management Trust account number

--	--	--	--	--	--	--	--

All investors need to sign the applicant declaration in Step 7 of this application form.

Existing investors will need to complete **section ‘Step 2 – Type of investor’**, as indicated, and the relevant steps of this form as applicable for your instruction to add.

Generally existing investors who hold investments in other products within the Insignia Financial Group, will not be required to provide proof of identification requirements under AML/CTF legislation, unless they are applying under a different name to their existing accounts or in a different capacity to their existing accounts.

Step 2: Type of investor

You will need to complete certain sections of this application form depending on your investor type.

What part of Step 2 do you need to complete?

Complete Part A if you are:	Complete Part B if you are:	Complete Part C if you are:	Complete Part B (a), (b) and (h) only if you are:
<input type="checkbox"/> an individual investor ¹ or <input type="checkbox"/> joint investors	<input type="checkbox"/> a company	<input type="checkbox"/> a trust with individual Trustees or <input type="checkbox"/> a trust with a corporate Trustee or <input type="checkbox"/> other trust ²	<input type="checkbox"/> other investor ³ then go straight to Step 3.
<p>Note: New applicants from these categories will also need to complete the relevant ‘FSC/FPA Identification Form’ if you have a financial adviser. If you do not have a financial adviser, an Additional Tax Information Form will need to be completed. For a copy of the applicable form(s), please contact Investor Services on 1800 002 217 or visit our website ioof.com.au/forms</p>			

¹ Individual investors include individuals acting for themselves or as sole traders.

² Other trusts may include family or charitable trusts, deceased estates, or accounts set up for a minor child.

³ ‘Other investors’ may include partnerships, associations (such as incorporated/unincorporated club/body), registered co-operatives and government entities.

Part A – Individual or Joint Investors

Existing MLC Cash Management Trust investors to complete TITLE, GIVEN NAMES, MAILING ADDRESS and DATE OF BIRTH sections of part A.

(a) **Investor 1** (all notices and correspondence will be forwarded to the address of Investor 1)

Title (Dr/Mr/Mrs/Ms/Miss)

Mr Mrs Miss Ms Other

Surname

Given name(s)

This section must be completed. Note: PO Box can only be provided below under 'Mailing address'.

Residential address

Suburb

State

Postcode

Country

Mailing address (if different from above)

Suburb

State

Postcode

Phone (bh)

Phone (ah)

Phone (mobile)

Fax

Date of birth (DD/MM/YYYY)

Gender

Male Female

Email

Occupation

Industry of occupation

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

For Sole Traders only

Please also complete this section if you are an Individual Investor applying as a sole trader:

Business name (if applicable)

Australian Business Number (ABN)

If different from residential address above. PO Box not accepted.

Business address

Suburb

State

Postcode

Country

Part A – Individual or Joint Investors continued

Are you a tax resident of Australia? Yes No

Are you a tax resident of another country? Yes No

Please answer both tax residency questions as you can be a tax resident of more than one country. If you are only a tax resident of Australia and no other country please proceed to 'Investor 2'.

FOREIGN RESIDENTS ONLY – Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS)

Under FATCA and CRS laws, we are required to ask all investors to provide additional information about their tax residency. Tax residency rules differ by country. Whether an individual is a tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. This information will be reported to the relevant tax authority with Australia and internationally.

For all countries where you are a tax resident please provide a TIN (Tax Identification Number) which is the number assigned by each country for the purposes of administering tax laws such as a Social Security Number in the US. If a TIN cannot be provided, please list one of the three reasons specified below (A, B or C) for not providing a TIN.

Country	TIN	Reason for no TIN ^(A,B or C) #

#Reasons for not providing a TIN

Reason **A** – The country of tax residency does not issue TINs to tax residents, OR

Reason **B** – You have not been issued with a TIN, OR

Reason **C** – The country of tax residency does not require the TIN to be disclosed.

(b) Investor 2 (for Joint Investors only*)

Title (Dr/Mr/Mrs/Ms/Miss)

Surname

Mr Mrs Miss Ms Other

Given name(s)

This section must be completed. Note: PO Box can only be provided below under 'Mailing address'.

Residential address

Suburb

State

Postcode

Country

Mailing address (if different from above)

Suburb

State

Postcode

Phone (bh)

Phone (ah)

Phone (mobile)

Fax

Part A – Individual or Joint Investors continued

Date of birth (DD/MM/YYYY)

Gender

Male Female

Email

Occupation

Industry of occupation

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

Are you a tax resident of Australia? Yes No

Are you a tax resident of another country? Yes No

Please answer both tax residency questions as you can be a tax resident of more than one country. If you are only a tax resident of Australia and no other country please proceed too '**Step 3**'.

FOREIGN RESIDENTS ONLY – Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS)

Under FATCA and CRS laws, we are required to ask all investors to provide additional information about their tax residency. Tax residency rules differ by country. Whether an individual is a tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. This information will be reported to the relevant tax authority with Australia and internationally.

For all countries where you are a tax resident please provide a TIN (Tax Identification Number) which is the number assigned by each country for the purposes of administering tax laws such as a Social Security Number in the US. If a TIN cannot be provided, please list one of the three reasons specified below (A, B or C) for not providing a TIN.

Country	TIN	Reason for no TIN ^(A,B or C) #

#Reasons for not providing a TIN

Reason **A** – The country of tax residency does not issue TINs to tax residents, OR

Reason **B** – You have not been issued with a TIN, OR

Reason **C** – The country of tax residency does not require the TIN to be disclosed.

* **Note for joint investors:** If either investor is able to operate the account and bind the other joint investor for future transactions (including additional investments, switches and withdrawals) please tick this box.

Part B – Companies or Other Investors

Existing MLC Cash Management Trust investors to complete (A) REGISTERED NAME OF COMPANY/ENTITY and (H) CONTACT PERSON sections of Part B.

If you are investing as a:

- Domestic Company**, please complete all applicable sections of **Part B** including the relevant FSC/FPA Identification form(s) for an Australian Company and their beneficial owners (if you have a financial adviser) or an Additional Tax Information Form (if you do not have a financial adviser) as applicable to you.
- Foreign Company (domiciled in Australia)**, please complete all applicable sections of **Part B**, including the relevant FSC/FPA Identification form(s) for a Foreign Company and their beneficial owners (if you have a financial adviser) or an Additional Tax Information Form (if you do not have a financial adviser) as applicable to you.
- Other Investor** please complete **subsections (a), (b) and (h) only** (below). You will also need to complete the relevant FSC/FPA Identification form(s) (if you have a financial adviser), or an Additional Tax information Form (if you do not have a financial adviser) relevant to your investor type. For a copy of the relevant form, please contact Investor Services on 1800 002 217 or visit our website (ioof.com.au/forms).

a) Company/Entity details

Registered name of company/entity

Australian Company Number (ACN)

 - - OR

Australian Registered Body Number (ARBN) (if applicable)

 - - OR

Foreign registration number (if unregistered in Australia)

Registered business address

Suburb

State

Postcode

Country

Principal business address (if different from above)

Suburb

State

Postcode

Country

Industry in which company operates

(b) Please list Directors/Officeholders/Partners full names (as applicable)

Director/Officeholder/Partner 1

Title (Dr/Mr/Mrs/Ms/Miss)

Mr Mrs Miss Ms Other

Surname

Given name(s)

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

Part B – Companies or Other Investors continued

Director/Officeholder/Partner 2

Title (Dr/Mr/Mrs/Ms/Miss)

Surname

Mr Mrs Miss Ms Other

Given name(s)

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

Director/Officeholder/Partner 3

Title (Dr/Mr/Mrs/Ms/Miss)

Surname

Mr Mrs Miss Ms Other

Given name(s)

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

Director/Officeholder/Partner 4

Title (Dr/Mr/Mrs/Ms/Miss)

Surname

Mr Mrs Miss Ms Other

Given name(s)

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

(c) Is the company regulated?

Yes If yes, please specify regulator:

Regulator (such as ASIC, APRA)

Licence number

No If no, go to sub-section (d)

(d) Is the company listed with the ASX?

Yes

No

(e) Is the company a majority owned subsidiary of a listed company?

Yes If yes, please provide name of the listed company:

Listed company name

No If no, go to sub-section (f)

Part B – Companies or Other Investors continued

(f) Company type

- Public
- Private/Proprietary If a proprietary company, complete sub-section (g) below.

(g) Beneficial ownership

Please list full names and addresses of shareholders /beneficial owners who own more than 25 per cent of the company.

To be completed for proprietary companies or if the company is **not** regulated, listed with the ASX, or, a majority owned subsidiary of a listed company.

Proceed to sub-section (h) if no individuals are identified in this section.

Shareholder/beneficial owner 1

Title (Dr/Mr/Mrs/Ms/Miss)

Surname

Mr Mrs Miss Ms Other

Given name(s)

Residential address (Note: PO Box not accepted)

Suburb

State

Postcode

Country

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

Shareholder/beneficial owner 2

Title (Dr/Mr/Mrs/Ms/Miss)

Surname

Mr Mrs Miss Ms Other

Given name(s)

Residential address (Note: PO Box not accepted)

Suburb

State

Postcode

Country

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

Part B – Companies or Other Investors continued

Shareholder/beneficial owner 3

Title (Dr/Mr/Mrs/Ms/Miss) Surname
Mr Mrs Miss Ms Other

Given name(s)

Residential address (Note: PO Box not accepted)

Suburb State Postcode Country

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

Shareholder/beneficial owner 4

Title (Dr/Mr/Mrs/Ms/Miss) Surname
Mr Mrs Miss Ms Other

Given name(s)

Residential address (Note: PO Box not accepted)

Suburb State Postcode Country

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

(h) Other beneficial owners

To be completed if there are no individuals who meet the requirement of sub-section (g)

Please provide full details of individuals who directly or indirectly control the company.

This includes exercising control through the capacity to determine decisions about financial or operating policies, or by means of trusts, agreements, arrangements, understanding and practices, voting rights of 25 per cent or more, or power of veto. If no such person can be identified then the most senior managing official/s of the company (such as the managing director or directors who are authorised to sign on the company's behalf).

Other beneficial owner 1

Title (Dr/Mr/Mrs/Ms/Miss) Surname
Mr Mrs Miss Ms Other

Given name(s)

Residential address (Note: PO Box not accepted)

Part B – Companies or Other Investors continued

Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

Other beneficial owner 2

Title (Dr/Mr/Mrs/Ms/Miss)	Surname
Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Other <input type="text"/>	<input type="text"/>

Given name(s)

Residential address (Note: PO Box not accepted)

Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

Identification and tax residency requirements

If you are applying as a company, and have a financial adviser, please ensure you complete the relevant FSC/FPA Identification form, and a separate form for individuals who are beneficial owners (as applicable), or, an Additional Tax Information Form if you do not have a financial adviser. To obtain a copy of the relevant form(s) or if your details change at any time please contact Investor Services on 1800 002 217 or visit our website (ioof.com.au/forms).

(i) Contact Person

A Contact Person must be nominated for the account.

Title (Dr/Mr/Mrs/Ms/Miss)	Surname
Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Other <input type="text"/>	<input type="text"/>

Given name(s)

Mailing address (if different from above)

Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Phone (bh)	Phone (ah)
<input type="text"/>	<input type="text"/>

Phone (mobile)	Fax
<input type="text"/>	<input type="text"/>

Date of birth (DD/MM/YYYY)	Gender	Email
<input type="text"/>	Male <input type="checkbox"/> Female <input type="checkbox"/>	<input type="text"/>

Part B – Companies or Other Investors continued

- All future notices and correspondence will be forwarded to the Contact Person
 - The Contact Person must be one of the signatories on the account
-

Security Password

For security purposes and easier access to your account information via the telephone, please provide a password:

Security password

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Please note: When a representative is nominated, no account information will be provided via the telephone unless this password is quoted.

Part B investor details (Companies or Other Investors) is now complete.

Please ensure all the relevant additional FSC/FPA Identification or Additional Tax Information forms have been completed under this section before proceeding.

Part C – Trusts (Trust with a Corporate Trustee or Individual Trustees)

Existing MLC Cash Management Trust investors to complete the (A) FULL NAME OF THE TRUST, (i) CORPORATE TRUSTEE (if applicable OR for INDIVIDUAL TRUSTEES, TITLE, GIVEN NAMES, MAILING ADDRESS and DATE OF BIRTH of Trustee 1.

(a) Full name of the Trust

(b) Full business name (if any) of the trustee in respect of the Trust

(c) Country of establishment of Trust

(d) Type of Trust (tick all that apply)

Managed Investment Scheme

Registered (provide ARSN if registered)

 - -

OR

Unregistered

Regulated Trust (such as a Self Managed Superannuation Fund)

Name of regulator (such as ASIC, APRA, ATO)

Registration number

Government superannuation fund

Legislation under which fund is established

Other Trust type (such as family, charitable, deceased estate or minor child)

Please specify

Unregulated Trust For unregulated Trusts please list the person(s) who settles the initial sum or assets to create the Trust.

Full name of settlor(s)

Note for Trustees:

- For **Trusts with a Corporate Trustee**, complete subsection (e)-i below and subsection (f), if applicable. For **Trusts with Individual Trustees**, please complete subsection (e)-ii below and subsection (f), if applicable.

Note, additional client identification is required under AML/CTF legislation. To obtain a copy of the relevant 'FSC Identification Form – for a Regulated/Unregulated Trust, as applicable, please contact Investor Services on 1800 002 217. Alternatively, you can download a copy from our website at ioof.com.au/forms

- **All trustees must sign** the Applicant declaration in Step 7.

Part C – Trusts (Trust with a Corporate Trustee or Individual Trustees) continued

(e) Details of Trustee(s)/Contact Person (complete either i – Corporate Trustee OR ii – Individual Trustee(s) only)

i – Corporate Trustee

Company name

Contact Person⁵

Title (Dr/Mr/Mrs/Ms/Miss)

Mr Mrs Miss Ms Other

Surname

Given name(s)

Mailing address

Suburb

State

Postcode

Country

Phone (bh)

Phone (ah)

Phone (mobile)

Fax

Date of birth (DD/MM/YYYY)

Gender

Male Female

Email

ii – Individual Trustee(s)

Please list all trustee(s), including name(s) and address(es):

Trustee 1⁵

Title (Dr/Mr/Mrs/Ms/Miss)

Mr Mrs Miss Ms Other

Surname

Given name(s)

This section must be completed. Note: PO Box can only be provided under 'Mailing address'.

Residential address

Suburb

State

Postcode

Country

Mailing address (if different from above)

Suburb

State

Postcode

Phone (bh)

Phone (ah)

Phone (mobile)

Fax

Date of birth (DD/MM/YYYY)

Gender

Male Female

Email

Occupation

Industry of occupation

5 All future notices and correspondence will be sent to the **identified trustee** (trustee 1 for individual trustees) or the **contact person** (corporate trustee). The identified trustee or the contact person must be a signatory on the account.

Part C – Trusts (Trust with a Corporate Trustee or Individual Trustees) continued

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

Trustee 2

Title (Dr/Mr/Mrs/Ms/Miss)

Surname

Mr Mrs Miss Ms Other

Given name(s)

Residential address (Note: PO Box not accepted)

Suburb

State

Postcode

Country

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

Trustee 3

Title (Dr/Mr/Mrs/Ms/Miss)

Surname

Mr Mrs Miss Ms Other

Given name(s)

Residential address (Note: PO Box not accepted)

Suburb

State

Postcode

Country

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

Trustee 4

Title (Dr/Mr/Mrs/Ms/Miss)

Surname

Mr Mrs Miss Ms Other

Given name(s)

Residential address (Note: PO Box not accepted)

Suburb

State

Postcode

Country

Part C – Trusts (Trust with a Corporate Trustee or Individual Trustees) continued

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

(f) Beneficiary details – to be completed only if 'Other Trust type' was selected in subsection (d) on page 12.

Yes

If yes, please provide details of the beneficiary membership class/es (such as unit holders, family members of named person or charitable purpose).

Class of membership

Class of membership

Class of membership

Class of membership

No

If no, please provide the following beneficiary details

Beneficiary 1

Title (Dr/Mr/Mrs/Ms/Miss)

Mr Mrs Miss Ms Other

Surname

Given name(s)

Residential address (Note: PO Box not accepted)

Suburb

State

Postcode

Country

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

Beneficiary 2

Title (Dr/Mr/Mrs/Ms/Miss)

Mr Mrs Miss Ms Other

Surname

Given name(s)

Residential address (Note: PO Box not accepted)

Suburb

State

Postcode

Country

Part C – Trusts (Trust with a Corporate Trustee or Individual Trustees) continued

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

Beneficiary 3

Title (Dr/Mr/Mrs/Ms/Miss)

Surname

Mr Mrs Miss Ms Other

Given name(s)

Residential address (Note: PO Box not accepted)

Suburb

State

Postcode

Country

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

Beneficiary 4

Title (Dr/Mr/Mrs/Ms/Miss)

Surname

Mr Mrs Miss Ms Other

Given name(s)

Residential address (Note: PO Box not accepted)

Suburb

State

Postcode

Country

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

(g) Beneficial owners – to be completed for unregulated Trusts

Please confirm the Trustees listed in sub-section (e) ii are beneficial owner(s) of the Trust.

This includes control by acting as Trustee; or by means of trusts, agreements, arrangements, understandings and practices; or exercising control through the capacity to direct the Trustees; or the ability to appoint or remove the Trustees.

Trustee 1 Yes No

Trustee 2 Yes No

Trustee 3 Yes No

Trustee 4 Yes No

Please also provide full details of other individuals who directly or indirectly control the Trust.

Part C – Trusts (Trust with a Corporate Trustee or Individual Trustees) continued

Other beneficial owner 1

Title (Dr/Mr/Mrs/Ms/Miss)

Surname

Mr Mrs Miss Ms Other

Given name(s)

Residential address (Note: PO Box not accepted)

Suburb

State

Postcode

Country

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

Other beneficial owner 2

Title (Dr/Mr/Mrs/Ms/Miss)

Surname

Mr Mrs Miss Ms Other

Given name(s)

Residential address (Note: PO Box not accepted)

Suburb

State

Postcode

Country

Politically exposed persons are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates.

Are you a politically exposed person? Yes No (If 'yes', complete the Politically Exposed Persons Form at ioof.com.au/forms)

Are you residing overseas? Yes No (If 'yes', complete an Overseas Investor Form at ioof.com.au/forms)

Identification and tax residency requirements

If you are applying as a trust, and have a financial adviser, please ensure you complete the relevant FSC/FPA Identification form, including a separate form for individuals who are beneficial owners (as applicable), or, an Additional Tax Information Form if you do not have a financial adviser. To obtain a copy of the relevant form or if your details change at any time please contact Investor Services on 1800 002 217.

Step 3: Investment details

This section allows you to make an initial or additional investment via cheque to the MLC Cash Management Trust, and/or specify how you would like your income distributed.?

Name of Trust	Amount to be invested	How would you like your income distributed?^	
		OPTION A Reinvested as additional units (✓)	OPTION B# Deposited directly into your nominated financial institution account (✓)
MLC Cash Management Trust	\$ <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

Important note:

For any initial deposit equal to or more than \$2 million you must also complete the High Threshold Transaction form available at ioof.com.au/forms

^ Please note:

- If you do not select a distribution method this will be taken as a direction to reinvest your income distribution as additional units in the Trust from which the income was derived.
- If you select Option B and a payment is rejected by your financial institution, this will be taken as a direction to reinvest that income distribution and all future income distributions as additional units in the MLC Cash Management Trust.

Income distribution account details

Only complete the section below if you have selected to have your distribution paid directly into your nominated financial institution account, and you have not previously provided us with your financial institution account details, or if you wish to change your nominated financial institution account.

Financial institution

Branch

Name of account

BSB

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	-	<input type="text"/>	<input type="text"/>	<input type="text"/>
----------------------	----------------------	----------------------	----------------------	---	----------------------	----------------------	----------------------

Account number

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------

Payment details:

Cheque

Please make your cheque payable to 'MLC Applications Trust Account-Applicant(s) Name' marked 'Not Negotiable'.

Please send your completed application form together with any supporting documents (and cheque if applicable) to:

MLC
Reply Paid 264
Melbourne VIC 8060

Step 4: Tax file number (TFN) or Australian business number (ABN) notification or exemption

Important information regarding your TFN:

- Collection of your TFN information is authorised by law, and their use and disclosure are regulated by tax laws. Any personal information we collect (including your TFN) will be handled in accordance with our privacy policy available at ioof.com.au/privacy.
- Quotation of your TFN is not compulsory⁶ and it is not an offence if you decide not to provide us with this information.
- If you do not provide us with your TFN, tax will be deducted from your investment earnings at the highest marginal tax rate plus Medicare Levy and forwarded to the Australian Taxation Office (ATO).
- If you are exempt from quoting your TFN, you must indicate this below or tax will be deducted from your investment earnings.
- An investor who is not an Australian resident for tax purposes is not required to provide a TFN or ABN.

For more information about the use of TFN or ABN, please contact the ATO.

An ABN may only be used as an alternative to a TFN if your investment is undertaken in the course of a business or an enterprise.

I/We authorise the use of this TFN or ABN in respect of the investment for which I/we are applying.

Investor 1

TFN OR ABN

OR I authorise IISL to use the TFN or ABN already held on file.

OR I am not an Australian resident for tax purposes.

OR I claim an exemption from quoting my TFN or ABN.

Reason for exemption

Investor 2

TFN OR ABN

OR I authorise IISL to use the TFN or ABN already held on file.

OR I am not an Australian resident for tax purposes.

OR I claim an exemption from quoting my TFN or ABN.

Reason for exemption

⁶ Note, under AML/CTF legislation, disclosure of an ABN is required for those individual investors investing as sole traders. If applicable, please provide this information under Step 2 – PART A, 'For Sole Traders Only'.

Step 5: Proof of identity requirements

In accordance with the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF), your application cannot be processed unless this section is completed and you may be requested to provide additional information and documentation to facilitate IISL's compliance with AML/CTF legislation). AML/CTF legislation obligates IISL to verify the identity of each of its investors before providing financial services to them.

Please note, generally existing investors within the Insignia Financial Group, do not need to provide the proof of identity listed below.

Individual investor applicants

If you are a new individual investor in the MLC Cash Management Trust, making a new investment application, you will need to provide originals or original certified copies of one or more of the following documents. The proof of identity documents are required to be submitted with your application form.

Type of investor (please ✓ appropriate box)	Proof of identity – please provide the relevant document(s).
<p>(a) <input type="checkbox"/> Individuals acting for themselves Note, for joint investors, the necessary proof of identity is required for each investor.</p> <p>OR</p> <p><input type="checkbox"/> Individuals acting as a sole trader</p>	<p>Either one of the following Acceptable Primary Photographic ID documents:</p> <ul style="list-style-type: none"> • Current driver's licence (issued under Australian State/Territory, or a foreign country equivalent, containing a photograph of the individual). Please copy front and back of licence. • Australian passport (an Australian passport that has expired within the preceding 2 years is acceptable). • Foreign passport or an international travel document issued by a foreign government, the United Nations (UN) or an agency of the UN, containing a photograph and signature of the person whose name the document is issued*. • Card issued under Australian State or Territory law for the purposes of providing a person's age containing a photograph. • A National Identity Card issued by a foreign government, the UN or an agency of the UN, containing a photograph and signature of the person whose name the card is issued*. <p>OR (Acceptable Secondary ID documents):</p> <ul style="list-style-type: none"> • A notice issued to an individual (showing the current residential address such as a tax notice within last 12 months or a utilities bill within last 3 months) that contains their residential address and records the provision of financial benefits/services or the debt owed by the individual. <p>AND one of the following documents:</p> <ul style="list-style-type: none"> • Birth certificate or birth extract issued under Australian State/Territory. • Birth certificate issued by a foreign government, the UN or an agency of the UN. • Citizenship certificate issued by the Commonwealth. • Citizenship certificate issued by a foreign government. • A concession (health or pension) card issued by the Department of Human Services or Centrelink.
<p>(b) <input type="checkbox"/> Individuals who have been nominated as a Representative or Power of Attorney</p>	<p>Either one of the following documents:</p> <ul style="list-style-type: none"> • For verification of the identity of a nominated representative or Power of Attorney, refer to the proof of identity requirements listed in Section (a) above. <p>Please note:</p> <ul style="list-style-type: none"> • Where a Power of Attorney has been granted, a certified copy of the Power of Attorney must be provided.

*Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator.

Who can certify your documents?

The 'Completing Proof of Identity' document provides a list of persons authorised to certify copies of original documents. To obtain a copy please contact Investor Services on 1800 002 217. Alternatively, you can download a copy from our website at ioof.com.au/forms.

All non-individual investor applicants (eg companies, trusts, partnerships and other types of investors)

When completing the relevant FSC/FPA Identification form(s), the identification requirements are included in these forms (if you have a financial adviser). An Additional Tax Information Form must be completed if you do not have a financial adviser. As a guide you can refer to the proof of identity requirements included in the 'Completing Proof of Identity' document. To obtain a copy please contact Investor Services on 1800 002 217. Alternatively, you can download a copy from our website at ioof.com.au/forms.

Step 6: Financial adviser details (financial adviser to complete, if applicable)

We will only register a financial adviser who:

- holds a current Australian Financial Services (AFS) Licence
- is an authorised representative of a current AFS Licensee.

Financial adviser details

Dealer group

License name

Financial adviser name

Financial adviser number

AFS licence number

If you are a new financial adviser* please also complete the following details:

Business name

Business address

Suburb

State

Postcode

Mailing address (if different from above)

Suburb

State

Postcode

Phone (bh)

Phone (ah)

Phone (mobile)

Fax

Email

* An email notifying you of your new financial adviser number will be forwarded to your office shortly after receipt of this application.

Step 6: Financial adviser details continued

Declaration by financial adviser

In submitting this application form:

- I declare that I hold a current AFS Licence OR I am a representative or an authorised representative nominated to act on behalf of a holder of a current AFS Licence
- I confirm that I have provided the applicant with all the necessary information concerning their chosen investment, including the PDS (and any SPDS)
- I consent to provide IISL access to all proof of identification records for the purposes of this application if requested (pursuant to the AML/CTF Act Part 7.2)
- I confirm that I have conducted the relevant customer identification procedures in line with the obligations under the AML/CTF Act, and
 - I have attached a certified copy of the applicant's identification document(s) for an individual/sole trader with this application form

Please note: Compulsory where:

- initial contribution is equal to or more than \$2 million or
- the applicant is a Politically Exposed Person or
- the applicant is not residing in Australia

OR

- I have completed and signed the relevant FSC/FPA identification form(s), which is attached to this Application (and retained a certified copy of the applicant's identification document(s)), **OR**
- I have sighted and retained a certified copy of the applicant's identification document(s) recorded in the following 'Record of proof of identity' table (please complete the table and declaration below).

Record of proof of identity (ID)^	ID document 1	ID document 2
Verified from	<input type="checkbox"/> Original <input type="checkbox"/> Certified copy	<input type="checkbox"/> Original <input type="checkbox"/> Certified copy
Document issuer	<input type="text"/>	<input type="text"/>
Issue date	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Expiry date	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Document number	<input type="text"/>	<input type="text"/>
Accredited English translation	<input type="checkbox"/> N/A <input type="checkbox"/> Sighted	<input type="checkbox"/> N/A <input type="checkbox"/> Sighted

^ For further information on the types of proof of identity which can be attached or verified and retained, please refer to the 'completing proof of identity' document which is located on our website at ioof.com.au/forms. This document also includes a list of persons authorised to certify copies of original documents.

<p>Signature</p> <div style="border: 1px solid black; height: 40px; width: 100%; display: flex; align-items: center; justify-content: space-between;"> X <div style="text-align: right;"> <p>Date (DD/MM/YY)</p> <input type="text"/><input type="text"/><input type="text"/><input type="text"/><input type="text"/><input type="text"/><input type="text"/><input type="text"/> </div> </div>	<p>Dealer stamp</p> <div style="border: 1px solid black; height: 120px; width: 100%;"></div>
--	---

For assistance, financial advisers can contact Investor Services on 1800 002 217

Step 7: Applicant declaration

I/We wish to invest in the MLC Cash Management Trust as described in the PDS. I/We have personally received the PDS or paper print out of the electronic version of the PDS and Reference Guide before applying for an investment in the Trust.

I/We declare that:

- all details in this application form are true and correct
- I/we have received and accepted this offer in Australia
- I/we acknowledge that I/we will promptly advise my/our financial adviser and/or IISL[^] if any of my/our details change at any time
- I/we certify that I/we am/are the applicant(s) named in Step 2 of this application form OR I/we am/are authorised to provide information and complete this application form on their behalf (ie by way of Power of Attorney)
- I/we am/are aware that information provided about me/us and my/our accounts will be provided to the relevant tax authority within Australia and internationally
- I/we agree to provide any information that may be required for the identification purposes of AML/CTF, FATCA and CRS laws (as applicable).

I/We confirm that I/we have read and understood:

- that an investment made in the MLC Cash Management Trust does not represent an investment in IISL, nor any related body corporate within the Insignia Financial Group, nor any investment management company appointed to manage the investment assets of the Trust.
- that neither IISL nor any related body corporate within the Insignia Financial Group, nor any investment management company appointed to manage the investment assets of the MLC Cash Management Trust, guarantees the performance of the Trust or the return or repayment of capital or income
- the PDS in full and on becoming a unitholder, I/we agree to be bound by the provisions of the PDS and the constitution of the MLC Cash Management Trust
- that investments in the MLC Cash Management Trust are subject to investment risks including possible delays in repayment and loss of income and principal invested.

Personal financial advice confirmation

I/We declare that:

I/We have received personal financial advice in relation to the MLC Cash Management Trust

[^] IOOF Investment Services Ltd (IISL), ABN 80 007 350 405 AFS Licence No. 230703

AML/CTF, FATCA and CRS

- I/We have provided the appropriate documents, as outlined in the 'Completing Proof of Identity' document on ioof.com.au/forms, that may be required for the purposes of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act)*
- I/We confirm that we have correctly indicated any applicable foreign or United States tax residency status, for Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS) laws in Part A of this Application form and during the customer identification process.
- If I/we are an applicant under Part B or Part C of this application form I/we confirm that I/we have correctly declared our identification and tax residency information in the FSC/FPA Identification forms (if investing with the assistance of a financial adviser) or the Additional Tax Information Form (if investing without the assistance of a financial adviser).
- If I/we previously have opened an IISL product and my/our adviser has not conducted the customer identification procedure under AML/CTF, FATCA and CRS laws in conjunction with this application, I/we confirm that I/we are not a foreign resident or U.S. citizen(s) or resident(s) for tax purposes, or are not purchasing this product on behalf of another foreign resident, and/or U.S. citizen or resident for tax purposes.

* Proof of identity requirements are generally not required for existing investors within the Insignia Financial Group as detailed in the PDS.

Privacy

Any personal information provided by me/us to IISL will be used for the purpose of opening an investment account and for other related purposes. For the purpose of providing services to me/us, IISL may disclose my/our personal information to its related bodies corporate, a person with whom I/we have a joint investment, my/our financial adviser, professional advisers, businesses that have referred me/us to IISL, banks and other financial institutions, or to provide me/us with information about other products or services that may be of interest to me/us.

IISL is required to collect my/our personal information under the *Corporations Act 2001*, the AML/CTF, FATCA and CRS laws. If I/we do not provide all of the requested information, IISL may not be able to action my/our request. To verify my/our identity for Know Your Customer (KYC) purposes, IISL may also solicit personal information about me/us from reliable identity verification service providers.

My/Our personal information will be handled in accordance with IISL's Privacy Policy. The privacy policy contains information about how I/we may access or correct my/our personal information held by IISL and how I/we may complain about a breach of my privacy. I/We may request a copy of IISL's privacy policy by contacting 1800 002 217 or at ioof.com.au/privacy.

Marketing material

By ticking this box, I/we confirm that I/we do not want IISL or related bodies corporate to use or disclose my/our personal information for marketing purposes.

Step 7: Applicant declaration continued

Financial report

A financial report is available online at ioof.com.au by 30 September each year, detailing the financial position of the MLC Cash Management Trust at the financial year ending 30 June. Alternatively, you may choose to receive a hard copy of the financial report by ticking this box:

Power of Attorney

If your application is signed under a Power of Attorney, please enclose a certified copy of the Power of Attorney and the proof of identity documents required in Step 5 ('Proof of identity requirements') with your application form. If signed under Power of Attorney, the attorney certifies that he/she has not received notice of revocation of that power.

Corporate investors

This application form must be signed either:

- under common seal
- by two directors or a director and company secretary or
- by the sole director (where applicable).

Important reminder – Identification and tax residency requirements

(Only if you are a Company, Trust, or Other type of investor eg Partnerships and Associations)

If you have a financial adviser and are investing as Company, Trust or Other Investor, you will need to complete and return with your application form, the additional FSC/ FPA Identification Form relevant to your investor type.

If I do not have a financial adviser you will need to complete the relevant Additional Tax Information Form if you are investing as a Company, Trust or Other investor. Refer to the separate 'Completing Proof of Identity' document to help you understand what you will need to provide us relevant to your investor type.

All relevant forms can be downloaded from our web site at ioof.com.au/forms, or alternatively you can request a copy from Investor Services on 1800 002 217.

If not signing under common seal, please state your title, eg Director, Company Secretary or Sole Director and Company Secretary.

Signatory 1

	Date (DD/MM/YY)							
	<table border="1"><tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr></table>							

Title (such as Director/Sole Director/Company Secretary)

Full name

Signatory 2

	Date (DD/MM/YY)							
	<table border="1"><tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr></table>							

Title (such as Director/Sole Director/Company Secretary)

Full name

Signatory 3 (for additional Trustees if applicable)

	Date (DD/MM/YY)							
	<table border="1"><tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr></table>							

Title (if applicable)

Full name

Signatory 4 (for additional Trustees if applicable)

	Date (DD/MM/YY)							
	<table border="1"><tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr></table>							

Title (if applicable)

Full name

Common seal (of company) if required

How to contact us

Responsible Entity

IOOF Investment Services Ltd ABN 80 007 350 405 AFS Licence No: 230703
A part of the Insignia Financial Group of Companies.

Postal address

GPO Box 264 Melbourne VIC 3001

Telephone 1800 002 217

Fax 1800 558 539

Email investorservices@insigniafinancial.com.au

Website ioof.com.au