



Initial Application Form

MLC Global Private Equity Fund – Class B

DATE: DECEMBER 2022

INSTRUCTIONS TO COMPLETE

You must complete this Initial Application Form if you are a new investor wishing to invest in the MLC Global Private Equity Fund – Class B (Fund) for the first time. The Responsible Entity of the Fund is MLC Investments Limited (MLC) (ABN 30 002 641 661) (AFSL 230705). MLC is the issuer of the current Information Memorandum (IM) for the Fund which provides important information about the Fund to assist you in deciding whether to invest in the Fund. You should read the IM and any website updates for the Fund in full before completing this Initial Application Form. If you do not have a copy of the IM and any website updates, a copy is available free of charge from mlcam.com.au/gpe_wholesale or by contacting Client Services on 1300 738 355, or from your approved Australian financial adviser (Financial Adviser). **Any person who gives another person a copy of this Initial Application Form must at the same time give the person a copy of the IM.**

Please print in **BLOCK LETTERS** and use **BLACK** or **BLUE** ink to complete this Initial Application Form and relevant Financial Services Council (FSC) identification form(s). If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and have all signatories initial the changes.

To ensure that we are able to process your application quickly and efficiently, please cross (X) each box below to confirm that you have completed each section of the Initial Application Form that is relevant to your investment:

- | | |
|--|---|
| <input type="checkbox"/> Signed and dated the Initial Application Form: | <input type="checkbox"/> Supplied your email address . |
| <input type="checkbox"/> Individual Investor – sign and date the form. | <input type="checkbox"/> Provided certified proof of identity – either to your Financial Adviser (who should complete the declaration in section 8 of this Initial Application Form and attach certified copies of identity verification documents) or attached to the Initial Application Form if you are investing directly with us. |
| <input type="checkbox"/> Joint Investors – both investors to sign and date the form. | <input type="checkbox"/> Provided relevant FSC identification form(s) . |
| <input type="checkbox"/> Company – to be signed and dated by two directors or a director and secretary of the company or, if the company has only a sole director, that director. | <input type="checkbox"/> Investing by Electronic Funds Transfer (EFT) – email your Initial Application Form, relevant FSC Identification forms(s) and identity verification documents to:
mlc_transactions@unitregistry.com.au
AND
Send your original Initial Application Form, relevant FSC Identification form(s) and identity verification documents to:
Registry Services
C/- OneVue Fund Services Pty Ltd
GPO Box 804
Melbourne VIC 3001 Australia |
| <input type="checkbox"/> Trusts (including self-managed super funds (SMSFs)) – to be signed and dated by a trustee. | <input type="checkbox"/> AND
Deposit your funds into the administrator's bank account: <ul style="list-style-type: none">• Bank: National Australia Bank Limited• BSB No: 083043• Account No: 93-616-3106• Account Name: National Nominees Limited ANF MLC Investments Limited ATF MLC Global Private Equity Fund – Application Account• Reference: [Investor's name(s)] |
| <input type="checkbox"/> Other (associations / government bodies / registered co-operatives / partnerships) – to be signed and dated. | |
| <input type="checkbox"/> Authorised Signatory List – if an organisation wishes to authorise persons other than the director(s)/ secretary signing the Initial Application Form to make transactions in relation to the investment, then a certified copy of the Authorised Signatory List must be submitted to National Australia Bank. | |
| <input type="checkbox"/> Power of Attorney – sign and date the form in the presence of a witness. Attach a certified copy of the Power of Attorney. Each page of the Power of Attorney document must be certified by a Justice of the Peace, solicitor or notary public. The Attorney needs to complete the FSC identification form for individuals , which is available on mlcam.com.au/forms | |
| <input type="checkbox"/> Supplied your TFN, ABN, TFN exemption or country of tax residence for non-residents. | |

Anti-money laundering, sanction and related laws

Anti-money laundering and other related laws require us to collect and/or verify information about the identity of customers and related parties, including anyone acting on your behalf.

The following instructions for completing the Initial Application Form will assist you in providing this information. The provision of complete and accurate information will enable us to process your application efficiently and meet our legislative obligations.

Under relevant laws, we may be required to ask you for additional identity verification documents and/or information about you or a related party, either when we are processing your application or at some stage after we issue the units. We may pass any information we collect to the relevant government authority.

We reserve the right to restrict withdrawals from your account if we have not been provided with the necessary identification information about you or a related party.

Identity verification documents

Whether you are investing via a Financial Adviser or directly with MLC, you will be required to provide valid identity verification documentation. The actual documentation required will depend on whether you are an individual investor or a non-individual investor such as a superannuation fund, a trust or a company. The applicable documentation is outlined in the relevant FSC identification form(s) (refer to Section 10). **If any documentation you provide is not in English, it must be accompanied by an original copy of an English translation prepared by an accredited translator.**

If we do not receive all the required valid customer identity verification documents with your Initial Application Form or we are unable to verify your identity at any time, we may not be able to commence your investment or may not process any future withdrawal requests until we receive the required documents. We will contact you or your Financial Adviser as soon as possible if we require more information.

If you are investing through a Financial Adviser, they will be required to obtain acceptable identity verification documents from you prior to lodging your application. To do this, they will need to sight the original or certified copies of your identity verification documents and retain copies. They will then send us certified copies of your identity verification documents together with your Initial Application Form.

A Financial Adviser is an adviser from a Dealer group which may have a current agreement with MLC in relation to the AML/CTF Act customer identification program.

If you are not investing via a Financial Adviser or have not provided identity verification documents to your Financial Adviser, you will be required to send the **certified copies** of the original identity verification documents (not scanned copies) as listed in the relevant FSC identification form(s), which must be valid at the time you send them to us. Until we receive such document(s) we reserve the right to withhold processing any transaction requests you wish to make in the future.

If the Initial Application Form is signed under Power of Attorney, we will also require a certified copy of the Power of Attorney document and a specimen signature of the Attorney.

Please refer to 'Approved Certifiers' for a list of persons who can certify these documents and see 'Certified copy requirements' for details of how documents must be certified.

Beneficial ownership and control of an entity

In accordance with Sections 3 and 9 (where applicable) MLC, as required by AML/CTF law, reserves the right to request, and verify, the identity of any persons who are deemed to own or control (directly or indirectly) for the non-individual entity. Please refer to the relevant FSC identification forms for definitions of the beneficial owner for each investor type.

For further information on this, please contact Client Services on **1300 738 355**.

Email terms and conditions

If emails are used in operating your account, you should understand that there is potentially a greater risk that a person could fraudulently send us an email and, by pretending to be you, withdraw money from your account. These terms and conditions apply when we (or Registry Services) receive email instructions or communications about your account.

These terms are in addition to any other requirements relating to you giving us instructions or completing any particular authority. We can change these terms by giving you 14 days' written notice.

By investing in the Trust, you agree that MLC and Registry Services are not responsible for any losses you may suffer as a result of any fraudulent communications received by email, except to the extent those losses arise directly from their or their agents' negligence, wilful default or fraud.

You agree to be liable and indemnify MLC and Registry Services for any losses suffered by any of them as a result of any fraudulent communications received by email to the extent those losses arise from your negligence, wilful default or fraud.

MLC and Registry Services will only act on completed communications from you. MLC and Registry Services will not be liable for any loss which results from not receiving your email, or from a delay in receiving your email.

Australian tax file number

MLC is authorised to ask for the entity's ABN/ACN or Company TFN to open an investment account for income distribution purposes and anti-money laundering. If the investing entity does not provide this unique identifier, the application cannot be processed.

Certified copy requirements

A certified copy is a document that has been approved as a true copy of an original document. The following certification requirements **must appear on each page of the copy**:

- The text: **'This is to certify that this is a true and correct copy of the original which I have sighted'**.
- **Signature** of the Approved Certifier.
- **Full printed name** of the Approved Certifier (e.g. Michelle Helena Citizen).
- **Capacity** of the Approved Certifier (e.g. solicitor).
- **Full address** of the Approved Certifier.
- **Registration number** (if applicable).
- **Date** that the document was certified.

Note: A photocopy of a certified document will not be accepted. Original certification only.

Approved Certifiers

Identity verification documents may be certified as a true and correct copy of an original document by one of the following persons in Australia or for New Zealand investors, a person in New Zealand of equivalent capacity. The person certifying must state their capacity (from the list below) and complete according to **certified copy requirements** listed above.

1. A person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia as a legal practitioner (however described).
2. A Judge of a Court.
3. A Magistrate.
4. A chief executive officer of a Commonwealth Court.
5. A registrar or deputy registrar of a Court.
6. A Justice of the Peace.

7. A notary public (for the purposes of the Statutory Declaration Regulations 1993).
8. A police officer.
9. An agent of the Australian Postal Corporation who is in charge of supplying postal services to the public.
10. A permanent employee of the Australian Postal Corporation with two or more years of continuous service who is employed in an office supplying postal services to the public.
11. An Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955).
12. An officer with two or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993).
13. A finance company officer with two or more continuous years of service with one or more finance companies (for the purposes of the Statutory Declarations Regulations 1993).
14. An officer with, or authorised representative of, a holder of an Australian Financial Services Licence (AFSL), having two or more continuous years of service with one or more licensees.
15. A member of the Institute of Chartered Accountants in Australia, CPA Australia or the Institute of Public Accountants with two or more years of continuous membership.
16. A foreign public notary whose appointment is lawful and their status as a notary public can be verified.

Privacy Notification

We collect and hold information necessary to provide you with the relevant services and information related to your investment. If you do not provide your personal information, we may not be able to provide you with these services. We are subject to the Insignia Financial Group of Companies (comprising Insignia Financial Ltd ABN 49 100 103 722 and its related bodies corporate) (Insignia Financial Group) Privacy Policy which is available by contacting Client Services or visiting our website at mlc.com.au/privacy

We may disclose your personal information within the Insignia Financial Group and to others. It is generally unlikely that we will disclose your personal information overseas, however, any overseas disclosure does not affect our commitment to safeguarding your personal information and we will take reasonable steps to ensure any overseas recipient complies with Australian privacy laws.

More information about how we collect, use, share and handle your personal information is in the Insignia Financial Group's Privacy Policy, including how you may access or correct your information and how you may make a complaint about a privacy issue.

1: Additional Investment

If you already have an investment in the Fund and wish to make an additional investment, you should use the Additional Investment Form available from mlcam.com.au/gpe_wholesale or by contacting Client Services on **1300 738 355**, or from your Financial Adviser.

2: Investor type

Mandatory (*)

Cross (X)	Investor type*	Sections to be completed
<input type="checkbox"/>	Individuals / Joint Investors / Sole Traders	3A, 3B (if applicable), 4, 5, 6, 7, 8, 9 (if applicable), 10A, 11
<input type="checkbox"/>	Australian companies	3C, 4, 5, 6, 7, 8, 9 (if applicable), 10B, 11
<input type="checkbox"/>	Australian regulated trusts (including SMSFs and individual or corporate trustees)	3C, 4, 5, 6, 7, 8, 9 (if applicable), 10C, 11
<input type="checkbox"/>	Unregulated Australian trusts and foreign trusts	3C, 4, 5, 6, 7, 8, 9 (if applicable), 10D, 11
<input type="checkbox"/>	Foreign companies	3C, 4, 5, 6, 7, 8, 9 (if applicable), 10E, 11 Please contact Client Services on 1300 738 355 .
<input type="checkbox"/>	Associations	3C, 4, 5, 6, 7, 8, 9 (if applicable), 10F, 11
<input type="checkbox"/>	Government bodies	3C, 4, 5, 6, 7, 8, 9 (if applicable), 10G, 11
<input type="checkbox"/>	Registered co-operatives	3C, 4, 5, 6, 7, 8, 9 (if applicable), 10H, 11
<input type="checkbox"/>	Partnerships	3C, 4, 5, 6, 7, 8, 9 (if applicable), 10I, 11

3: Investor details

3A. Investor 1

Mandatory (*)

Individual Joint Investor 1 Sole Trader

Title* Full given name(s)* Surname*

Full business name (if any)* ABN (if any)*

Date of birth (DD/MM/YYYY)* / / Gender* Male Female Other

Telephone: Business hours* Mobile

Occupation*

TFN¹ TFN exemption reason (Australian residents)

Country of tax residence (non-Australian residents)*

1 It is not against the law if you choose not to give your TFN, exemption code or exemption reason, but if you decide not to, tax will be taken out of your income distributions at the highest marginal rate (plus Medicare Levy). See 'Australian tax file number' on page 2 for more information.

Residential address (PO boxes, locked bags and RMBs are not acceptable)

Unit/Level* Street number* Street name*

Suburb/Town*

State* Postcode* Country*

Principal place of business (Sole trader only)

Unit/Level* Street number* Street name*

Suburb/Town*

State* Postcode* Country*

3B. Investor 2

Mandatory (*)

Joint Investor 2

Title* Full given name(s)* Surname*

Date of birth (DD/MM/YYYY)* / / Gender* Male Female Other

Telephone: Business hours* Mobile

Occupation*

TFN² TFN exemption reason (Australian residents)

Country of tax residence (non-Australian residents)*

Residential address (PO boxes, locked bags and RMBs are not acceptable)

Unit/Level* Street number* Street name*

Suburb/Town*

State* Postcode* Country*

If there are more investors, provide details on a separate sheet with full details as specified above.

² It is not against the law if you choose not to give your TFN, exemption code or exemption reason, but if you decide not to, tax will be taken out of your income distributions at the highest marginal rate (plus Medicare Levy). See 'Australian tax file number' on page 2 for more information.

- 3C. Australian Companies Unregulated Australian trusts and foreign trusts
 Australian regulated trusts (including SMSF and individual and corporate trustees)
 Associations Government bodies Registered co-operatives Partnerships

Mandatory (*)

Account Name*

Contact person name*

Contact person email*

Contact person telephone: Business hours*

Contact person mobile

ABN/ACN (if registered in Australia)*

TFN²

TFN exemption reason (Australian residents)

Registered address and Principal place of business*

Unit/Level*

Street number*

Street name*

Suburb/Town*

State*

Postcode*

Country*

Country of tax residence (non-Australian residents)*

Nature of business/industry*

Please identify the nature of business/industry for this investment by indicating with a cross (X) one or more of the following which is applicable to you.

- | | | |
|---|---|---|
| <input type="checkbox"/> Permanent Building Society Operation (732201) | <input type="checkbox"/> General Insurance (742204) | <input type="checkbox"/> Post Graduate School, University, Operation (843101) |
| <input type="checkbox"/> Credit Union Operation (732301) | <input type="checkbox"/> Portfolio, Investment, Management Service (751909) | <input type="checkbox"/> Health Services (863908) |
| <input type="checkbox"/> Money Market Corporations (732403) | <input type="checkbox"/> Trustee Service (751914) | <input type="checkbox"/> Religious Organisation Operation (961008) |
| <input type="checkbox"/> Financial Intermediation (732901) | <input type="checkbox"/> Public Trustee (751915) | <input type="checkbox"/> Business and Professional Associations (9621) |
| <input type="checkbox"/> Finance Companies (733001) | <input type="checkbox"/> All Other Business Services (786917) | <input type="checkbox"/> Charities Operation (962920) |
| <input type="checkbox"/> Unit Trust Operation (734003) | <input type="checkbox"/> Government Administration (811) | |
| <input type="checkbox"/> Property Trusts (734006) | <input type="checkbox"/> Superannuation Funds (741299) | |
| <input type="checkbox"/> Financial Asset Investors (734007) | <input type="checkbox"/> Schools (842399) | |
| <input type="checkbox"/> Self Managed Superannuation Fund (SMSF) (Aust Use Only) (741205) | | |
| <input type="checkbox"/> Health Insurance (742103) | | |

4: Source of funds / Source of wealth

4A. Source of funds*

Mandatory (*)

Source of Funds describes where your funds came from in regard to deposits in the account. Please identify the Source of Funds for this investment by indicating with a cross (X) one or more of the following which is applicable to you.

Employment

Please indicate the nature of employment

Investments

Please indicate the type of investments e.g. superannuation, real estate, financial etc

Business activity

Please indicate the nature of business and industry

Inheritance/Gift

Please indicate the name of deceased/donor

Legal settlement

Please specify the type of legal settlement

Other

Please specify

4B. Source of wealth*

Mandatory (*)

Source of Wealth describes how you have built your net worth. Please identify the Source of Wealth for this investment by indicating with a cross (X) one or more of the following which is applicable to you.

Employment

Please indicate the nature of employment

Investments

Please indicate the type of investments e.g. superannuation, real estate, financial etc

Business activity

Please indicate the nature of business and industry

Inheritance/Gift

Please indicate the name of deceased/donor

Legal settlement

Please specify the type of legal settlement

Other

Please specify

5: Investment amount and method of payment

Fund

MLC Global Private Equity Fund – Class B (APIR MLC9173AU)

Minimum initial investment: A\$250,000

all investments must be made in Australian dollars³

Please complete the Australian Wholesale Form on page 16 if initial investment amount is less than A\$500,000.

Method of payment

Refer to page 1 instructions for 'Investing by Electronic Funds Transfer (EFT)'.

6: Withdrawal proceeds and distributions

By providing your bank account details in this section, you authorise MLC to use these details for **all** withdrawal requests that you nominate and in the event the Fund completes a cash distribution.⁴

Australian Account

Name of Australian bank or financial institution

Branch

BSB number

 –

Account number

Account name⁵

³ All payments and transactions to, and by, the Fund are in Australian dollars. Payments from/into non-Australian dollar bank accounts will be subject to currency conversion rates and may incur additional fees. Non-Australian resident investors should seek advice from their banking institution.

⁴ All payments and transactions by the Fund are in Australian dollars. Payments cannot be made into non-Australian bank accounts.

⁵ Payments can only be made to accounts held in the investor's name. No third party payments are made. For joint investors, it must be a joint account.

7: Communication/Correspondence

All communications regarding this account will be delivered to the address below. If more delivery addresses are required, please provide additional addresses in Section 10 Interested parties.

*Mandatory**

C/- (if applicable)*

Unit/Level*

Street number*

Street name (or PO Box)*

Suburb/Town*

State*

Postcode*

Country*

Email address*

7A. Electronic Communication

By providing your email address(es) above, and/or on a separate sheet, you agree that we may use this address to provide you with information about your investment (such as transaction confirmations, statements, reports and other material). From time to time we may still need to send you letters in the post.

Your communication preferences can be amended at any time by completing a Change of Details Form available at mlcam.com.au/gpe_wholesale or on request from Client Services on **1300 738 355**.

Please cross (X) the boxes below as applicable.

- I/We prefer to receive a paper copy of all communications.
- Please provide my/our Financial Adviser (details provided in Section 8) with access to information about my/our investments and copies of all statements about my investment.⁶ If no election is made no copies will be sent.
- I do not want to receive the Annual Financial Report.

7B. Annual Report

We will publish a copy of the annual report for the relevant Fund on mlcam.com.au

- Please cross (X) this box if you wish to receive a paper copy of the report by post.

If you choose to have the annual report mailed to you, we will mail it to the correspondence postal address provided in this section. If you do not choose to have the annual report mailed to you, we will email it to the correspondence email address provided in this section. If you do not choose to have the annual report mailed to you and have not provided a correspondence email address, we will publish a copy of the annual report for the relevant Fund on mlcam.com.au, and we'll notify you by mail when this has been made available online.

⁶ By electing this option, your Financial Adviser will also be able to access such information via Adviser Online.

8: Financial adviser details

Please cross (X) this box if you have a current agreement with MLC in relation to the AML/CTF Act customer identification program and, or, you have provided the relevant FSC identification form(s) including completed 'Record of Verification Procedure'.⁷

Financial Adviser name

Dealer Group

Dealer branch

ABN (if registered in Australia)

AFSL No. (if registered in Australia)

Unit/Level*

Street number*

Street name*

Suburb/Town*

State*

Postcode*

Country*

Telephone: Business hours

Mobile

Email address

Financial Adviser signature

Financial Adviser stamp

Full name

Date signed (DD/MM/YYYY)

 / /

9: Interested parties

Provide details of the parties that may receive information relating to this investment below.

Name

Company

Email address

Contact phone no.

Delivery address

Unit/Level*

Street number*

Street name*

Suburb/Town*

State*

Postcode*

Country*

Please attach a schedule if more space is required.

⁷ You declare you have sighted either the original or a certified copy of the original document(s). You declare that an identity verification procedure for the investor(s) named in section 3 of this Initial Application Form has been completed in accordance with the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* by a person in the capacity of an AFSL licence holder or their authorised representative. If you do not satisfy these requirements please contact Client Services by calling 1300 738 355.

10: Customer identity verification

You must complete the FSC identification form(s) relevant to your investor type. If you are not lodging this application through a Financial Adviser you will be required to send the **certified copies** of the original identity verification documents (not scanned copies) as listed in the relevant FSC identification form(s), (ensuring each page is certified). Please refer to page 2 for instructions on 'Certified copy requirements'. If you are unsure which form you should complete please contact Client Services on **1300 738 355** or email client.services@mlcam.com.au.

Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator.⁸

10A. Person(s) named in 3A, 3B and individuals that have provided details on a separate sheet along with sole traders

Please complete the FSC identification form for **individuals and sole traders**, which is available on mlcam.com.au/forms

10B. Australian companies

Please complete the FSC identification form for **Australian companies**, which is available on mlcam.com.au/forms

10C. Australian regulated trusts (including SMSFs and individual and corporate trustees)

Please complete the FSC identification form for Australian regulated trusts, which is available on mlcam.com.au/forms

For SMSFs, in addition to completing the identification form for Australian regulated trusts, a certified copy of the Trust Deed (or if not reasonably available, a certified extract of the Trust Deed) must be provided. Extracts of the Trust Deeds must include the name of the Trust, Trustees, Beneficiaries, Settlor/s and Appointers (where applicable).

For corporate trustees, please also complete the FSC identification form for Australian companies.

10D. Unregulated Australian trusts and foreign trusts

Please complete the FSC identification form for **unregulated Australian trusts and foreign trusts**, which is available on mlcam.com.au/forms

10E. Foreign companies

Please complete the FSC identification form for **foreign companies**, which is available on mlcam.com.au/forms

Please contact Client Services on **1300 738 355** to discuss your application further.

10F. Associations

Please complete the FSC identification form for **associations**, which is available on mlcam.com.au/forms

10G. Government bodies

Please complete the FSC identification form for **government bodies**, which is available on mlcam.com.au/forms

10H. Registered co-operatives

Please complete the FSC identification form for **registered co-operatives**, which is available on mlcam.com.au/forms

10I. Partnerships

Please complete the FSC identification form for **partnerships and partners**, which is available on mlcam.com.au/forms

⁸ An accredited translator is a person currently accredited by the National Accreditation Authority for Translators and Interpreters Ltd (NAATI) at the level of Professional Translator, or above, to translate from a language other than English into English or an overseas standard comparable to the Australian NAATI accreditation.

11: Declaration

I/We declare that:

- All details in this Initial Application Form, relevant FSC Identification Form(s) and all other documents provided are true and correct. I/We agree to advise MLC Investments Limited (MLC) (ABN 30 002 641 661) (AFSL 230705) of any change in circumstances in future including changes affecting my/our declared tax residency status within 30 days and I/we agree to indemnify MLC against any liabilities whatsoever arising out of it acting on any of these details or any future details provided by me/us in connection with this application.
- I/We warrant that the making of the initial application is an amount of not less than \$500,000 for units in the Fund and/or I/we otherwise fall within the categories of 'wholesale client' under the *Corporations Act 2001* (Cth).
- I/We have received a copy of the current IM to which this application relates and have read it and agree to the terms contained in it and to be bound by the provisions of the Constitution for the Trust (as amended from time to time), and accepted the offer of units in the Trust made in the IM in Australia.
- I/We have legal power to invest in the Trust in accordance with this application and have complied with all applicable laws in making this application.
- I/We am/are over the age of 18 years.
- In the case of joint investors, the joint applicants agree that unless otherwise expressly indicated on this Initial Application Form, the units will be held as joint tenants and either investor is able to operate the account and bind the other investor for future transactions, including additional investments and withdrawals.
- I/We have read and understood the terms and conditions of the use of electronic communications to give instructions on my/our account in the IM and agree to release and indemnify MLC against any liabilities whatsoever arising out of it acting on any communication received by email.
- I/We acknowledge that I/we have read the Privacy information in the IM and the Initial Application Form. I/We am/are aware that until I/we inform MLC otherwise, I/we will be taken to have consented to all the uses of my/our personal information (including marketing) and I/we have consented to the provision of and authorised (if applicable) my/our Financial Adviser to provide such further personal information to MLC as is required or reasonably deemed necessary by MLC under applicable law.
- I/We understand that if I/we fail to provide any information requested in this Initial Application Form or do not agree to any of the possible exchanges or uses detailed above, my/our application may not be accepted by MLC and I/we agree to release and indemnify MLC in respect of any loss or liability arising from its inability to accept my/our application due to inadequate details having been provided.
- I/We acknowledge that an investment in the Trust is subject to investment risk, including possible delays in repayment and loss of income and principal invested, and agree that those risks are appropriate for a person in my/our circumstances and with my/our investment objectives.
- I/We acknowledge that none of MLC or any other member of the Insignia Financial Group, guarantees the performance of the Trust or the repayment of the capital or any particular rate of return or distribution from the Trust.
- If investing as trustee on behalf of a superannuation fund or trust, I/we confirm that I/we am/are acting in accordance with my/our designated powers and authority under the trust deed. In the case of superannuation funds, I/we also confirm that it is a complying fund under the *Superannuation Industry (Supervision) Act*.
- Unless an alternative authority for signature is notified to and accepted by MLC, the person/persons that signs/sign this form is/are able to operate the account on behalf of themselves/the relevant entity (as applicable) and bind themselves/the entity for future transactions, including additional deposits and withdrawals.
- If I/we have elected in Section 4 of this Initial Application Form to receive communications by email, I/we consent to receive all disclosures required to be made to me/us by MLC under the *Corporations Act* in electronic format.
- I/We have read and understood the terms and conditions of identity verification documents in the IM as required by the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*, and agree to release and indemnify MLC against any liabilities whatsoever arising out of it withholding redemption requests due to incomplete identity documentation.

12: Signatures

If this form is signed under a Power of Attorney, the Attorney declares that he/she has not received notice of revocation of that power (a certified copy of the Power of Attorney should be submitted with this application unless MLC has already sighted it).

<input type="checkbox"/> Investor 1 ⁹	<input type="checkbox"/> Individual trustee 1	<input type="checkbox"/> Sole Director 1 ¹⁰	<input type="checkbox"/> Director 1 ¹⁰
<input type="checkbox"/> Attorney 1 ¹¹	<input type="checkbox"/> Partner 1	<input type="checkbox"/> Authorised signatory ¹²	
Signature		Date signed (DD/MM/YYYY)	
<input type="text"/>		<input type="text"/> / <input type="text"/> / <input type="text"/>	
Full name		Date of birth (DD/MM/YYYY)	
<input type="text"/>		<input type="text"/> / <input type="text"/> / <input type="text"/>	

<input type="checkbox"/> Investor 2 ⁹	<input type="checkbox"/> Individual trustee 2	<input type="checkbox"/> Sole Director 2 ¹⁰	<input type="checkbox"/> Director 1 ¹⁰
<input type="checkbox"/> Attorney 2 ¹¹	<input type="checkbox"/> Partner 2	<input type="checkbox"/> Authorised signatory ¹²	
Signature		Date signed (DD/MM/YYYY)	
<input type="text"/>		<input type="text"/> / <input type="text"/> / <input type="text"/>	
Full name		Date of birth (DD/MM/YYYY)	
<input type="text"/>		<input type="text"/> / <input type="text"/> / <input type="text"/>	

9 Joint investors must both sign.

10 Company applications must be signed by two directors, a director and secretary or the sole director of the company. These people must be identified.

11 Attorney's signature(s) must be witnessed on the next page.

12 A certified copy of the Authorised Signatory List must be submitted to Registry Services.

Signature of witness to Attorney 1

Signature of witness to Attorney 1 signature (witness must be a third party, i.e. not the investor or the named Attorney) I declare I have witnessed the signature of the named Attorney

X

Witness name (print)

Date signed (DD/MM/YYYY)

/ /

Residential address

Unit/Level

Street number

Street name

Suburb/Town

State

Postcode

Country

Signature of witness to Attorney 2

Signature of witness to Attorney 2 signature (witness must be a third party, i.e. not the investor or the named Attorney) I declare I have witnessed the signature of the named Attorney

X

Witness name (print)

Date signed (DD/MM/YYYY)

/ /

Residential address

Unit/Level

Street number

Street name

Suburb/Town

State

Postcode

Country

For Joint investors: withdrawal requests, additional investment and change of details forms must be signed by:

Investor 1 only

Investor 2 only

Either 1 or 2

Both 1 and 2

Important notes

The offer of units in the Fund is made by MLC Investments Limited (MLC) (ABN 30 002 641 661) (AFSL 230705).

This Initial Application Form must not be handed to any person unless accompanied by the current IM for the Fund. MLC may in its absolute discretion refuse any application for units. Persons external to MLC who market MLC products act as independent Financial Advisers and are not agents of MLC. MLC will not be bound by representations or statements which are not contained in information disseminated by MLC.



Qualified Accountant's Certificate

MLC Global Private Equity Fund – Class B

All investors who are wholesale clients on the basis that they are high net worth individuals who are applying for units in the MLC Global Private Equity Fund – Class B by investing less than A\$500,000 as an initial investment are required to submit an accountant's certificate in the following form or another form acceptable for the purposes of sections 708(8)(c) and 761G(7)(c) of the *Corporations Act 2001* (Cth) (**Act**).

The certificate must be issued no more than 2 years before the date of the initial investment.

To : MLC Investments Limited
'30 The Bond', Level 3, 30 Hickson Rd, Millers Point, NSW 2000

I,

of

certify as follows:

1. I am a qualified and practicing accountant for the purposes of the Act.

Name of professional body:	<input type="text"/>
Membership designation from professional body:	<input type="text"/>
Confirmation that I comply with my professional body's continuing professional education requirements:	<input type="checkbox"/> Yes <input type="checkbox"/> No

2. I am giving this certificate in accordance with sections 708(8)(c) and 761G(7)(c) of the Act at the request of, and with reference to (**Subscriber**),

3. I certify that having reviewed the financial position of the Subscriber:

(a) the Subscriber has net assets of at least A\$2.5 million; or

(b) the Subscriber had a gross income for each of the last 2 financial years of at least A\$250,000 a year,

including the net assets or gross income of any companies controlled by the client. In accordance with Section 50AA of the Corporations Act, a client controls a company where the client has the capacity to determine the outcome of decisions about the company's financial and operating policies.

4. I certify that the financial products and financial services provided to the Subscriber under this offer are not provided for use in connection with a business based on my understanding of the Subscriber.

Date (DD/MM/YYYY)

/ /

Need further assistance or information

Please contact Client Services at **1300 738 355** or email us on client.services@mlcam.com.au for further assistance and/or information.